

DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
08-15-12	J.P. Morgan Chase Bank, N.A.	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	No records found
08-15-12	BMO Financial Group	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	No records found
08-15-12	Citibank, N.A.	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	Wells Fargo Bank, N.A. (a/k/a; f/k/a Wachovia Bank, N.A.)	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	SunTrust Bank, N.A.	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	Bank of America, N.A.	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	TD Ameritrade	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	Fidelity Investments	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents

DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
08-15-12	Goldman Sachs	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	Gary Olin	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	Dan McSwain (served on atty Simon R. Malko)	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-15-12	Evanston Insurance	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-16-12	Goldman Sachs Execution and Clearing	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-16-12	Citibank International Bank	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-16-12	Metlife Insurance	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-16-12	ConvergEx Prime Services c/o Mike DeJarnette	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-16-12	BB&T Bank	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	No records found

DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
08-21-12	SunTrust Bank	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-22-12	Entrust/Advanta Group	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-22-12	Jim Price	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-22-12	Kathryn Giardina c/o Bob Garner, Esq.	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Claims to have no responsive documents
08-24-12	KM Homes, LLC c/o Art Leach, Esq.	Cover Letter ; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-24-12	Keith McSwain c/o Art Leach, Esq.	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-24-12	Jay McSwain c/o Art Leach, Esq.	Cover Letter ; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents (with KM Homes' production)
8-24-12	JP Morgan Securities/Legal Compliance	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-24-12	Colony Insurance Company	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents

DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
8-24-12	Investment Law Group c/o Bob Mottern	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-27-12	Chase Bank, N.A.	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-28-12	Wells Fargo Bank, N.A.	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-28-12	Italiano Insurance Services	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-28-12	GE Capital Assurance	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-28-12	Liberty Life Insurance Co.	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-28-12	HSBC	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
08-28-12	Colony Insurance Company	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	No records found
08-28-12	MetLife Insurance	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents

DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
8-29-12	Montgomery Bank & Trust c/o FDIC as Receiver	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-29-12	First Bank Florida	Demand Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	No records
8-30-12	Bank of America for purposes of Scan International USA Inc.	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
8-29-12	Brewton Parker College	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
09-13-12	Citizens Property Insurance Corporation	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
09-13-12	BOLT Insurance Company	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	No response
09-12-12	Liberty Mutual Insurance (Presidential Service Team)	Cover Letter; Subpoena DT for Production of Documents; Order Appointing Receiver	No response
09-18-12	Bank of America re. PFGRE, LLC	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
09-18-12	Allrisks Insurance	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents

DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
09-18-12	Mercury Insurance	Subpoena DT for Production of Documents; Order Appointing Receiver	No relevant records
09-18-12	Anfe Woordrum	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
09-18-12	Admiral Insurance Company	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
09-18-12	Geico Insurance	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
09-21-12	Liberty Mutual Insurance Company c/o Florida Chief Financial Officer as RA	Subpoena DT for Production of Documents; Order Appointing Receiver	No response
09-28-12	A&D Hospitality c/o Adam Van Nus	Subpoena DT for Production of Documents; Order Appointing Receiver	No response- incorrect address Attempting to serve again
09-28-12	Travelers Bond & Financial Products	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
09-28-12	Tradestation Securities	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
09-28-12	Topwater Capital Partners, LLC	Subpoena DT for Production of Documents; Order Appointing Receiver	No records- wrong entity

DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
09-28-12	Juliani Kenney Investment Capital, LLC	Subpoena DT for Production of Documents; Order Appointing Receiver	No records
09-28-12	FSC Securities Corporation	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
10-01-12	Jerome Murphy	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
10-01-12	Ken Muraoka	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
10-01-12	Erica McGinnis	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
10-01-12	Florida Peninsula Insurance Company	Subpoena DT for Production of Documents; Order Appointing Receiver	No response
10-01-12	Anfe Woodrum c/o Dewey N. Hayes, Jr.	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
10-03-12	HSBC Insurance Services	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
10-04-12	American Express Company/Datamark	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents

DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
10-04-12	Regents Bank	Subpoena DT for Production of Documents; Order Appointing Receiver	No records- wrong entity
10-10-12	Liberty Mutual Insurance Company c/o Florida Chief Financial Officer	Subpoena DT for Production of Documents; Order Appointing Receiver	No response
10-18-12	Nelson Mullins Riley & Scarborough	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
10-18-12	David Willms	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
11-02-12	Prelude Opportunity Fund	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
11-02-12	St. Paul Mercury Insurance Co., c/o Pam Hoff	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
11-02-12	Harris Trust & Savings/BMO Harris	Subpoena DT for Production of Documents; Order Appointing Receiver	No records found
11-02-12	Kathryn Giardini as Registered Agent of Montgomery Growth Fund, LLC c/o Bob Garner	Subpoena DT for Production of Documents; Order Appointing Receiver	Claims no responsive records
11-02-12	Traveler's Bond & Financial Products c/o Lisa Hirai	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents

DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
11-02-12	RPS Continental Special Risks (re. Lloyds of London)	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
11-09-12	Travelers Casualty and Surety Company c/o Chief Financial Officer	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
11-09-12	The Guardian Life Insurance Company of America	Subpoena DT for Production of Documents; Order Appointing Receiver	No records found
11-13-12	Skype, Inc.	Subpoena DT for Production of Documents; Order Appointing Receiver	No response
11-16-12	Monex Precious Metals c/o Lawler, Felix & Hall	Subpoena DT for Production of Documents; Order Appointing Receiver	No response
11-20-12	Ameris Bank	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
11-20-12	JP Morgan Securities, LLC	Subpoena DT for Production of Documents;	No records found
11-20-12	Chase Bank, USA, N.A.	Subpoena DT for Production of Documents	Produced documents
11-20-12	ConvergEx Prime Services, LLC (2008-2009 docs)	Subpoena DT for Production of Documents	Produced documents
11-20-12	Northpoint Trading Partners, LLC	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents (with ConvergEx Prime's production)

DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
11-20-12	Nottingham Investment Administration	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
11-20-12	Tradestation Securities (2008-2009 docs)	Subpoena DT for Production of Documents	Produced documents No additional records
11-20-12	Wells Fargo Bank, N.A. c/o CSC	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
11-20-12	TD Ameritrade c/o CSC	Subpoena DT for Production of Documents	Produced documents
11-20-12	SunTrust Bank	Subpoena DT for Production of Documents	Produced documents
11-20-12	Bank of America	Subpoena DT for Production of Documents	Produced documents
11-21-12	Suntrust Bank	Subpoena DT for Production of Documents	Produced documents
11-26-12	Topwater Opportunity Fund, LP	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
11-26-12	JP Morgan Chase Bank, N.A. & Subsidiaries	Subpoena DT for Production of Documents	Produced documents
11-27-12	First Tennessee Bank	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
11-27-12	Union Bank, N.A.	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents

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11-27-12	Brown Rountree, PC	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
12-12-12	FIA Card Services (Bank of America CC)	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
12-12-12	Mount Vernon Bank	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
12-12-12	First Tennessee Bank c/o attorney Mark Griffin, Esq.	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
01-15-13	Troutman Sanders, LLP	Subpoena DT for Production of Documents; Order Appointing Receiver	Response and Objections received; Documents to be produced by 12/1/13
1-2-13	Union Bank, N.A.	Subpoena DT for Production of Documents	Produced documents
1-23-13	Bank of America, N.A.	Subpoena DT for Production of Documents	Produced documents
1-28-13	Parable Soft, LLC	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
2-13-13	Regions Bank	Subpoena DT for Production of Documents; Order Appointing Receiver	Produced documents
2-13-13	Bank of Tampa	Subpoena DT for Production of Documents; Order Appointing Receiver	No records found

DATE	ADDRESSEE	DOCUMENT	STATUS/RESPONSE
2-18-13	Suntrust (re. Osama Lwis dos)	Subpoena DT for Production of Documents	Produced documents
2-19-13	Regents Bank	Subpoena DT for Production of Documents	No records- wrong entity
05/16/13	Travelers Casualty & Surety Company	Subpoena DT for Production of Documents	Produced documents
6-5-13	First National Bank of Griffin	Subpoena DT for Production of Documents	Produced documents
6-5-13	FDIC as Receiver for Silverton Bank, N.A.	Subpoena DT for Production of Documents	FDIC is searching for responsive documents
6-5-13	Bank of America	Subpoena DT for Production of Documents	Produced documents
6-11-13	Justin Zegalia c/o James M. Johnson, Esq.	Subpoena DT for Production of Documents	Produced documents
7-1-13	Kline & Associates	Subpoena DT for Production of Documents	Produced documents
8-2-13	Bank of America (PFGIA)	Subpoena DT for Production of Documents	Produced documents
8-27-13	Bank of America	Subpoena DT for Production of Documents	Produced documents
8-29-13	Suntrust Bank	Subpoena DT for Production of Documents	Produced documents
8-30-13	Trade Station Securities	Subpoena DT for Production of Documents	Produced documents
8-30-13	Montgomery Bank & Trust c/o FDIC as Receiver	Subpoena DT for Production of Documents	No records received
8-30-13	Union Bank, N.A.	Subpoena DT for Production of Documents	Produced documents

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8-30-13	FDIC as receiver, Silverton Bank, N.A.	Subpoena DT for Production of Documents	FDIC is searching for responsive documents
8-30-13	TD Ameritrade	Subpoena DT for Production of Documents	Produced documents
9/12/13	American Express Company	Subpoena DT for Production of Documents	Awaiting production
9/12/13	Bank of America/ FIA Card Services	Subpoena DT for Production of Documents	No records found
9/12/13	Citibank, N.A.	Subpoena DT for Production of Documents	No records found
9/12/13	JP Morgan Chase	Subpoena DT for Production of Documents	Produced documents
9/12/13	Regions Bank	Subpoena DT for Production of Documents	Produced documents
9/12/13	BB&T	Subpoena DT for Production of Documents	Produced documents
9/12/13	ConvergEx Prime Services, LLC as Successor by merger to North Point Trading Partners, LLC	Subpoena DT for Production of Documents	Produced documents (with ConvergEx Prime's production)